



# Chronic Condition Data Warehouse

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## Medicare Risk Score Files Codebook

July 2018

Version 1.0

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## Revision History

Revision Date	Version Number	Description	Author(s)
07/30/2018	1.0	Initial release of codebook for HCC Risk Score Files.	Kathy Schneider Rachel VanGilder Chris Alleman

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## BENE\_ID

**LABEL:** Encrypted CCW Beneficiary ID

**DESCRIPTION:** The unique CCW identifier for a beneficiary. The CCW assigns a unique beneficiary identification number to each individual who receives Medicare and/ or Medicaid, and uses that number to identify an individual's records in all CCW data files (e.g., Medicare claims, MAX claims, MDS assessment data). This number does not change during a beneficiary's lifetime and each number is used only once. The BENE\_ID is specific to the CCW and is not applicable to any other identification system or data source.

**LONG NAME:** BENE\_ID

**TYPE:** CHAR

**LENGTH:** 15

**SOURCE:** CCW (derived)

**VALUES:** -

**COMMENT:** -

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## LTI\_IND\_01

**LABEL:** Monthly Long-Term Institutional Indicator: January

**DESCRIPTION:** Long-Term Institutional Flag for January

**LONG NAME:** LTI\_IND\_01

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_02

**LABEL:** Monthly Long-Term Institutional Indicator: February

**DESCRIPTION:** Long-Term Institutional Flag for February

**LONG NAME:** LTI\_IND\_02

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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### LTI\_IND\_03

**LABEL:** Monthly Long-Term Institutional Indicator: March

**DESCRIPTION:** Long-Term Institutional Flag for March

**LONG NAME:** LTI\_IND\_03

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_04

**LABEL:** Monthly Long-Term Institutional Indicator: April

**DESCRIPTION:** Long-Term Institutional Flag for April

**LONG NAME:** LTI\_IND\_04

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_05

**LABEL:** Monthly Long-Term Institutional Indicator: May

**DESCRIPTION:** Long-Term Institutional Flag for May

**LONG NAME:** LTI\_IND\_05

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_06

**LABEL:** Monthly Long-Term Institutional Indicator: June

**DESCRIPTION:** Long-Term Institutional Flag for June

**LONG NAME:** LTI\_IND\_06

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_07

**LABEL:** Monthly Long-Term Institutional Indicator: July

**DESCRIPTION:** Long-Term Institutional Flag for July

**LONG NAME:** LTI\_IND\_07

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_08

**LABEL:** Monthly Long-Term Institutional Indicator: August

**DESCRIPTION:** Long-Term Institutional Flag for August

**LONG NAME:** LTI\_IND\_08

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_09

**LABEL:** Monthly Long-Term Institutional Indicator: September

**DESCRIPTION:** Long-Term Institutional Flag for September

**LONG NAME:** LTI\_IND\_09

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_10

**LABEL:** Monthly Long-Term Institutional Indicator: October

**DESCRIPTION:** Long-Term Institutional Flag for October

**LONG NAME:** LTI\_IND\_10

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_11

**LABEL:** Monthly Long-Term Institutional Indicator: November

**DESCRIPTION:** Long-Term Institutional Flag for November

**LONG NAME:** LTI\_IND\_11

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## LTI\_IND\_12

**LABEL:** Monthly Long-Term Institutional Indicator: December

**DESCRIPTION:** Long-Term Institutional Flag for December

**LONG NAME:** LTI\_IND\_12

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 1 = L/T Institutional  
Null/missing = not LTI (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

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## MODEL\_BENE\_AGE\_GROUP

**LABEL:** Beneficiary Reporting Age Bracket (model)

**DESCRIPTION:** Beneficiary Model Reporting Age Bracket

**LONG NAME:** MODEL\_BENE\_AGE\_GROUP

**TYPE:** CHAR

**LENGTH:** 4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 0034 – less than or equal age 34  
3544 – between the age of 35 and 44, inclusive  
4554 – between the age of 45 and 54, inclusive  
5559 – between the age of 55 and 59, inclusive  
6064 – between the age of 60 and 64, inclusive  
6565 – age 65, for new enrollee (NE) benes only  
6666 – age 66, for NE benes only  
6767 – age 67, for NE benes only  
6868 – age 68, for NE benes only  
6969 – age 69, for NE benes only  
6569 – between the age of 65 and 69, inclusive, for Full Risk benes only  
7074 – between the age of 70 and 74, inclusive  
7579 – between the age of 75 and 79, inclusive  
8084 – between the age of 80 and 84, inclusive  
8589 – between the age of 85 and 89, inclusive  
9094 – between the age of 90 and 94, inclusive  
9599 – greater than or equal age 95

**COMMENT:** -

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**MODEL\_BENE\_DOB**

**LABEL:** Date of Birth (model)  
**DESCRIPTION:** Date of Birth  
**LONG NAME:** MODEL\_BENE\_DOB  
**TYPE:** NUM  
**LENGTH:** 8  
**SOURCE:** CMS Risk Adjustment File  
**VALUES:** date in CCYYMMDD format  
Examples: 19450411 (for April 11, 1945)  
**COMMENT:** -

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### **MODEL\_BENE\_DOD**

**LABEL:** Beneficiary Death Date (model)  
**DESCRIPTION:** Beneficiary Death Date (if it occurred during the payment year)  
**LONG NAME:** MODEL\_BENE\_DOD  
**TYPE:** NUM  
**LENGTH:** 8  
**SOURCE:** CMS Risk Adjustment File  
**VALUES:** date in CCYYMMDD format  
Example: 20141231 (for December 31, 2014)  
**COMMENT:** -

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### **MODEL\_COV\_END\_DT**

**LABEL:** Coverage End Date (model)

**DESCRIPTION:** End Date for Medicare Coverage (if it occurred during the payment year)

**LONG NAME:** MODEL\_COV\_END\_DT

**TYPE:** NUM

**LENGTH:** 8

**SOURCE:** CMS Risk Adjustment File

**VALUES:** date in CCYYMMDD format  
Example: 20141231 (for December 31, 2014)

**COMMENT:** -

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### MODEL\_COV\_START\_DT

**LABEL:** Coverage Start Date (model)

**DESCRIPTION:** Start Date for Medicare Coverage (if it occurred during the payment year)

**LONG NAME:** MODEL\_COV\_START\_DT

**TYPE:** NUM

**LENGTH:** 8

**SOURCE:** CMS Risk Adjustment File

**VALUES:** date in CCYYMMDD format  
Example: 20140101 (for January 1, 2014)

**COMMENT:** -

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### MODEL\_MDCD\_FLG

**LABEL:** Medicaid Flag (model)  
**DESCRIPTION:** Medicaid Flag  
**LONG NAME:** MODEL\_MDCD\_FLG  
**TYPE:** CHAR  
**LENGTH:** 1  
**SOURCE:** CMS Risk Adjustment File  
**VALUES:** Y = Medicaid Status Applicable to Risk Adjustment Factors  
Null/missing = not Medicaid  
**COMMENT:** -

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## MODEL\_OREC

**LABEL:** Original Reason for Entitlement Code (model)  
**DESCRIPTION:** Original Reason for Medicare Entitlement Code  
**LONG NAME:** MODEL\_OREC  
**TYPE:** CHAR  
**LENGTH:** 1  
**SOURCE:** CMS Risk Adjustment File  
**VALUES:** 0 = old age and survivors insurance (OASI)  
1 = disability insurance benefits (DIB)  
2 = end stage renal disease (ESRD)  
3 = both DIB and ESRD  
9 = none of the above  
**COMMENT:** -

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## MODEL\_SEX

**LABEL:** Sex (model)  
**DESCRIPTION:** Sex  
**LONG NAME:** MODEL\_SEX  
**TYPE:** CHAR  
**LENGTH:** 1  
**SOURCE:** CMS Risk Adjustment File  
**VALUES:** 1 = Male  
2 = Female  
**COMMENT:** -

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## PTC\_MODEL\_SGMT\_CD\_01

<b>LABEL:</b>	Monthly Part C Model Segment Code: January
<b>DESCRIPTION:</b>	January Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_01
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).  The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTC\_MODEL\_SGMT\_CD\_02

**LABEL:** Monthly Part C Model Segment Code: February

**DESCRIPTION:** February Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTC\_MODEL\_SGMT\_CD\_02

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** C = Community  
C1 = Community Post-Graft I (end stage renal disease [ESRD])  
C2 = Community Post-Graft II (ESRD)  
D = Dialysis (ESRD)  
E = New Enrollee  
ED = New Enrollee Dialysis (ESRD)  
E1 = New Enrollee Post-Graft I (ESRD)  
E2 = New Enrollee Post-Graft II (ESRD)  
G1 = Graft I (ESRD)  
G2 = Graft II (ESRD)  
I = Institutional  
I1 = Institutional Post-Graft I (ESRD)  
I2 = Institutional Post-Graft II (ESRD)  
SE = New Enrollee Chronic Care Special Needs Plan (SNP)  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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### PTC\_MODEL\_SGMT\_CD\_03

<b>LABEL:</b>	Monthly Part C Model Segment Code: March
<b>DESCRIPTION:</b>	March Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_03
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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#### PTC\_MODEL\_SGMT\_CD\_04

<b>LABEL:</b>	Monthly Part C Model Segment Code: April
<b>DESCRIPTION:</b>	April Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_04
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTC\_MODEL\_SGMT\_CD\_05

**LABEL:** Monthly Part C Model Segment Code: May

**DESCRIPTION:** May Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTC\_MODEL\_SGMT\_CD\_05

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** C = Community  
C1 = Community Post-Graft I (end stage renal disease [ESRD])  
C2 = Community Post-Graft II (ESRD)  
D = Dialysis (ESRD)  
E = New Enrollee  
ED = New Enrollee Dialysis (ESRD)  
E1 = New Enrollee Post-Graft I (ESRD)  
E2 = New Enrollee Post-Graft II (ESRD)  
G1 = Graft I (ESRD)  
G2 = Graft II (ESRD)  
I = Institutional  
I1 = Institutional Post-Graft I (ESRD)  
I2 = Institutional Post-Graft II (ESRD)  
SE = New Enrollee Chronic Care Special Needs Plan (SNP)  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTC\_MODEL\_SGMT\_CD\_06

<b>LABEL:</b>	Monthly Part C Model Segment Code: June
<b>DESCRIPTION:</b>	June Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_06
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTC\_MODEL\_SGMT\_CD\_07

<b>LABEL:</b>	Monthly Part C Model Segment Code: July
<b>DESCRIPTION:</b>	July Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_07
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTC\_MODEL\_SGMT\_CD\_08

**LABEL:** Monthly Part C Model Segment Code: August

**DESCRIPTION:** August Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTC\_MODEL\_SGMT\_CD\_08

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** C = Community  
C1 = Community Post-Graft I (end stage renal disease [ESRD])  
C2 = Community Post-Graft II (ESRD)  
D = Dialysis (ESRD)  
E = New Enrollee  
ED = New Enrollee Dialysis (ESRD)  
E1 = New Enrollee Post-Graft I (ESRD)  
E2 = New Enrollee Post-Graft II (ESRD)  
G1 = Graft I (ESRD)  
G2 = Graft II (ESRD)  
I = Institutional  
I1 = Institutional Post-Graft I (ESRD)  
I2 = Institutional Post-Graft II (ESRD)  
SE = New Enrollee Chronic Care Special Needs Plan (SNP)  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTC\_MODEL\_SGMT\_CD\_09

<b>LABEL:</b>	Monthly Part C Model Segment Code: September
<b>DESCRIPTION:</b>	September Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_09
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).  The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTC\_MODEL\_SGMT\_CD\_10

<b>LABEL:</b>	Monthly Part C Model Segment Code: October
<b>DESCRIPTION:</b>	October Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_10
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTC\_MODEL\_SGMT\_CD\_11

<b>LABEL:</b>	Monthly Part C Model Segment Code: November
<b>DESCRIPTION:</b>	November Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_11
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTC\_MODEL\_SGMT\_CD\_12

<b>LABEL:</b>	Monthly Part C Model Segment Code: December
<b>DESCRIPTION:</b>	December Part C Resolved Risk Score Model Segment. This is the Part C risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTC_MODEL_SGMT_CD_12
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	C = Community C1 = Community Post-Graft I (end stage renal disease [ESRD]) C2 = Community Post-Graft II (ESRD) D = Dialysis (ESRD) E = New Enrollee ED = New Enrollee Dialysis (ESRD) E1 = New Enrollee Post-Graft I (ESRD) E2 = New Enrollee Post-Graft II (ESRD) G1 = Graft I (ESRD) G2 = Graft II (ESRD) I = Institutional I1 = Institutional Post-Graft I (ESRD) I2 = Institutional Post-Graft II (ESRD) SE = New Enrollee Chronic Care Special Needs Plan (SNP) Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).  The corresponding consolidated risk score model used in payment calculation for the month is in the monthly PTC_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTC\_NE\_SNP

- LABEL:** Part C New Enrollee Chronic Condition Special Needs Plan (SNP) Model Score
- DESCRIPTION:** Part C New Enrollee Chronic Condition SNP Factor (CSNP). Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is N or P (new enrollees).
- LONG NAME:** PTC\_NE\_SNP
- TYPE:** NUM
- LENGTH:** 7.4
- SOURCE:** CMS Risk Adjustment File
- VALUES:** Values between 00.0010 and 99.9990
- Null/missing = Not a new enrollee, or the beneficiary has both Part A and B (this model was not run for the beneficiary)
- Examples: 0.81, 1.74
- COMMENT:** The CSNP model is run only for new enrollee beneficiaries and beneficiaries who are Part A only.

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## PTC\_RISK\_CAT\_CD

**LABEL:** Part C Risk Category Code

**DESCRIPTION:** Part C Risk Enrollment Category Code. This code indicates the enrollment status of the beneficiary during the data collection period and therefore, which risk models are applicable to that beneficiary.

**LONG NAME:** PTC\_RISK\_CAT\_CD

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** N = Beneficiary has < 12 months Part A and < 12 months Part B, New Enrollee  
Beneficiary with < 12 months Part A  
C = Beneficiary has 12 months Part B, Full Risk Beneficiary  
P = Beneficiary has < 12 months Part B and 12 months Part A, New Enrollee Beneficiary with 12 months Part A

**COMMENT:** The data collection period is the year prior to the payment year (e.g., 2013 for the 2014 payment year).

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## PTC\_RISK\_SCORE\_01

**LABEL:** Monthly Part C Risk Score: January

**DESCRIPTION:** January Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_01

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_02

**LABEL:** Monthly Part C Risk Score: February

**DESCRIPTION:** February Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_02

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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### PTC\_RISK\_SCORE\_03

**LABEL:** Monthly Part C Risk Score: March

**DESCRIPTION:** March Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_03

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_04

**LABEL:** Monthly Part C Risk Score: April

**DESCRIPTION:** April Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_04

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_05

**LABEL:** Monthly Part C Risk Score: May

**DESCRIPTION:** May Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_05

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_06

**LABEL:** Monthly Part C Risk Score: June

**DESCRIPTION:** June Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_06

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_07

**LABEL:** Monthly Part C Risk Score: July

**DESCRIPTION:** July Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_07

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_08

**LABEL:** Monthly Part C Risk Score: August

**DESCRIPTION:** August Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_08

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_09

**LABEL:** Monthly Part C Risk Score: September

**DESCRIPTION:** September Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_09

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_10

**LABEL:** Monthly Part C Risk Score: October

**DESCRIPTION:** October Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_10

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_11

**LABEL:** Monthly Part C Risk Score: November

**DESCRIPTION:** November Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_11

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_RISK\_SCORE\_12

**LABEL:** Monthly Part C Risk Score: December

**DESCRIPTION:** December Part C Resolved Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTC\_RISK\_SCORE\_12

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 0.0010 and 20.796  
Examples – 0.4620, 2.1210

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

Beneficiaries with scores above 1.0 are expected to have above-average spending, while beneficiaries with scores below 1.0 are expected to have below-average spending (and proportionally so – for example, a beneficiary with a score of 2.0 is expected to cost twice the average).

The risk score model segment used to calculate the risk score for given month is identified using the corresponding monthly model segment code indicator (PTC\_MODEL\_SGMT\_CD\_MM), where the 01 through 12 at the end of the variable name correspond with the month.

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## PTC\_SCORE\_COM

**LABEL:** Part C Community Model Score

**DESCRIPTION:** Part C Community Factor. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage).

**LONG NAME:** PTC\_SCORE\_COM

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** -

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## PTC\_SCORE\_COM\_PACE

**LABEL:** Part C Community for PACE Organizations Model Score

**DESCRIPTION:** Part C Community Factor for beneficiaries enrolled in Program of All-inclusive Care for the Elderly (PACE) plans. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage).

**LONG NAME:** PTC\_SCORE\_COM\_PACE

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** -

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## PTC\_SCORE\_COM\_TPL\_M10

**LABEL:** Part C Community Post-Transplant 10+ Months Model Score

**DESCRIPTION:** Part C Community Post-Transplant Factor 10+ Months. A value is present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_COM\_TPL\_M10

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 1.094 and 19.015  
Null/missing = Not ESRD (this model was not run for the beneficiary)

**COMMENT:** ESRD status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_COM\_TPL\_M4\_M9

**LABEL:** Part C Community Post-Transplant 4-9 Months Model Score

**DESCRIPTION:** Part C Community Post-Transplant Factor 4-9 Months. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_COM\_TPL\_M4\_M9

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not ESRD (this model was not run for the beneficiary)

**COMMENT:** ESRD status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_DIAL

**LABEL:** Part C Dialysis Model Score

**DESCRIPTION:** Part C Dialysis Factor. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_DIAL

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not ESRD (this model was not run for the beneficiary)

**COMMENT:** ESRD status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_INST

**LABEL:** Part C Institutional Model Score

**DESCRIPTION:** Part C Institutional Factor. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage).

**LONG NAME:** PTC\_SCORE\_INST

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** -

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## PTC\_SCORE\_INST\_PACE

**LABEL:** Part C Institutional for PACE Organizations Model Score

**DESCRIPTION:** Part C Institutional Factor for beneficiaries enrolled in Program of All-inclusive Care for the Elderly (PACE) plans. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage.)

**LONG NAME:** PTC\_SCORE\_INST\_PACE

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** Institutional status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_INST\_TPL\_M10

**LABEL:** Part C Institutional Post-Transplant 10+ Months Model Score

**DESCRIPTION:** Part C Institutional Post-Transplant Factor 10+ Months. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_INST\_TPL\_M10

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** ESRD and institutional status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_INST\_TPL\_M4\_M9

**LABEL:** Part C Institutional Post-Transplant 4-9 Months Model Score

**DESCRIPTION:** Part C Institutional Post-Transplant Factor 4-9 Months. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_INST\_TPL\_M4\_M9

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing =Not applicable (this model was not run for the beneficiary)

**COMMENT:** -

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## PTC\_SCORE\_NE

**LABEL:** Part C New Enrollee Model Score

**DESCRIPTION:** Part C New Enrollee Factor. A value is present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is N or P (new enrollee).

**LONG NAME:** PTC\_SCORE\_NE

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Beneficiary was not a new enrollee (this model was not run for the beneficiary)  
Examples 0.346, 1.948

**COMMENT:** New enrollee status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_NE\_DIAL

**LABEL:** Part C New Enrollee Dialysis Model Score

**DESCRIPTION:** Part C New Enrollee Dialysis Factor. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is N or P (new enrollee) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_NE\_DIAL

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing =Not applicable (this model was not run for the beneficiary)  
Examples: 0.983, 1.205

**COMMENT:** ESRD and new enrollee status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_NE\_PACE

- LABEL:** Part C New Enrollee for PACE Organizations Model Score
- DESCRIPTION:** Part C New Enrollee Factor for beneficiaries enrolled in Program of All-inclusive Care for the Elderly (PACE) plans. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is N or P (new enrollee).
- LONG NAME:** PTC\_SCORE\_NE\_PACE
- TYPE:** NUM
- LENGTH:** 7.4
- SOURCE:** CMS Risk Adjustment File
- VALUES:** Values between 00.0010 and 99.9990  
Null/missing =Not applicable (this model was not run for the beneficiary)  
Examples: 1, 1.268
- COMMENT:** New enrollee status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_NE\_TPL\_M10

**LABEL:** Part C New Enrollee Post-Transplant 10+ Months Model Score

**DESCRIPTION:** Part C New Enrollee Post-Transplant Factor 10+ Months. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) is N or P (new enrollee) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_NE\_TPL\_M10

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (not ESRD; this model was not run for the beneficiary)  
Examples: 1.462, 2.758

**COMMENT:** ESRD and new enrollee status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_NE\_TPL\_M4\_M9

**LABEL:** Part C New Enrollee Post-Transplant 4-9 Months Model Score

**DESCRIPTION:** Part C New Enrollee Post-Transplant Factor 4-9 Months. Present only when Part C Risk Category Indicator (variable called PTC\_RISK\_CAT\_CD) N or P (new enrollee) and the beneficiary is end-stage renal disease (ESRD).

**LONG NAME:** PTC\_SCORE\_NE\_TPL\_M4\_M9

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (not ESRD; this model was not run for the beneficiary)  
Examples: 2.834, 4.557

**COMMENT:** ESRD and new enrollee status is indicated by the monthly PTC\_MODEL\_SGMT\_CD\_MM.

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## PTC\_SCORE\_TPL\_M1

**LABEL:** Part C Transplant – Month 1 Model Score

**DESCRIPTION:** Part C Transplant Factor 1 Month.

**LONG NAME:** PTC\_SCORE\_TPL\_M1

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 5.597

**COMMENT:** -

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### PTC\_SCORE\_TPL\_M2\_M3

**LABEL:** Part C Transplant – Month 2-3 Model Score

**DESCRIPTION:** Part C Transplant Factor 2-3 Months

**LONG NAME:** PTC\_SCORE\_TPL\_M2\_M3

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** 0.847

**COMMENT:** -

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## PTD\_COM\_LI\_CE

**LABEL:** Part D Community Low Income Continuing Enrollee Model Score

**DESCRIPTION:** Part D Community Low Income Continuing Enrollee Factor. Present only when Part D Risk Category indicator (SAS variable called PTD\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage).

**LONG NAME:** PTD\_COM\_LI\_CE

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** -

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## PTD\_COM\_NON\_LI\_CE

**LABEL:** Part D Community Non-Low Income Continuing Enrollee Model Score

**DESCRIPTION:** Part D Community, Non-Low Income Continuing Enrollee Factor. A value is present only when the Part D Risk Category indicator (SAS variable called PTD\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage).

**LONG NAME:** PTD\_COM\_NON\_LI\_CE

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing =Not applicable (this model was not run for the beneficiary)

**COMMENT:** -

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## PTD\_INST\_CE

**LABEL:** Part D Institutional Continuing Enrollee Model Score

**DESCRIPTION:** Part D Institutional Continuing Enrollee Factor. Present only when Part D Risk Category indicator (SAS variable called PTD\_RISK\_CAT\_CD) is C or P (12 months of Part A or B coverage).

**LONG NAME:** PTD\_INST\_CE

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** Institutional status is indicated by the monthly PTD\_MODEL\_SGMT\_CD\_MM.

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## PTD\_MODEL\_SGMT\_CD\_01

**LABEL:** Monthly Part D Model Segment Code: January

**DESCRIPTION:** January Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTD\_MODEL\_SGMT\_CD\_01

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** D1 = Community Non-Low Income Continuing Enrollee  
D2 = Community Low Income Continuing Enrollee  
D3 = Institutional Continuing Enrollee  
D4 = New Enrollee Community Non-Low Income Non-ESRD  
D5 = New Enrollee Community Non-Low Income ESRD  
D6 = New Enrollee Community Low Income Non-ESRD  
D7 = New Enrollee Community Low Income ESRD  
D8 = New Enrollee Institutional Non-ESRD  
D9 = New Enrollee Institutional ESRD  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_02

<b>LABEL:</b>	Monthly Part D Model Segment Code: February
<b>DESCRIPTION:</b>	February Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTD_MODEL_SGMT_CD_02
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	D1 = Community Non-Low Income Continuing Enrollee D2 = Community Low Income Continuing Enrollee D3 = Institutional Continuing Enrollee D4 = New Enrollee Community Non-Low Income Non-ESRD D5 = New Enrollee Community Non-Low Income ESRD D6 = New Enrollee Community Low Income Non-ESRD D7 = New Enrollee Community Low Income ESRD D8 = New Enrollee Institutional Non-ESRD D9 = New Enrollee Institutional ESRD Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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### PTD\_MODEL\_SGMT\_CD\_03

**LABEL:** Monthly Part D Model Segment Code: March

**DESCRIPTION:** March Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTD\_MODEL\_SGMT\_CD\_03

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** D1 = Community Non-Low Income Continuing Enrollee  
D2 = Community Low Income Continuing Enrollee  
D3 = Institutional Continuing Enrollee  
D4 = New Enrollee Community Non-Low Income Non-ESRD  
D5 = New Enrollee Community Non-Low Income ESRD  
D6 = New Enrollee Community Low Income Non-ESRD  
D7 = New Enrollee Community Low Income ESRD  
D8 = New Enrollee Institutional Non-ESRD  
D9 = New Enrollee Institutional ESRD  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_04

<b>LABEL:</b>	Monthly Part D Model Segment Code: April
<b>DESCRIPTION:</b>	April Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTD_MODEL_SGMT_CD_04
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	D1 = Community Non-Low Income Continuing Enrollee D2 = Community Low Income Continuing Enrollee D3 = Institutional Continuing Enrollee D4 = New Enrollee Community Non-Low Income Non-ESRD D5 = New Enrollee Community Non-Low Income ESRD D6 = New Enrollee Community Low Income Non-ESRD D7 = New Enrollee Community Low Income ESRD D8 = New Enrollee Institutional Non-ESRD D9 = New Enrollee Institutional ESRD Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTD\_MODEL\_SGMT\_CD\_05

<b>LABEL:</b>	Monthly Part D Model Segment Code: May
<b>DESCRIPTION:</b>	May Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTD_MODEL_SGMT_CD_05
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	D1 = Community Non-Low Income Continuing Enrollee D2 = Community Low Income Continuing Enrollee D3 = Institutional Continuing Enrollee D4 = New Enrollee Community Non-Low Income Non-ESRD D5 = New Enrollee Community Non-Low Income ESRD D6 = New Enrollee Community Low Income Non-ESRD D7 = New Enrollee Community Low Income ESRD D8 = New Enrollee Institutional Non-ESRD D9 = New Enrollee Institutional ESRD Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	<p>There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).</p> <p>The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).</p>

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## PTD\_MODEL\_SGMT\_CD\_06

**LABEL:** Monthly Part D Model Segment Code: June

**DESCRIPTION:** June Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTD\_MODEL\_SGMT\_CD\_06

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** D1 = Community Non-Low Income Continuing Enrollee  
D2 = Community Low Income Continuing Enrollee  
D3 = Institutional Continuing Enrollee  
D4 = New Enrollee Community Non-Low Income Non-ESRD  
D5 = New Enrollee Community Non-Low Income ESRD  
D6 = New Enrollee Community Low Income Non-ESRD  
D7 = New Enrollee Community Low Income ESRD  
D8 = New Enrollee Institutional Non-ESRD  
D9 = New Enrollee Institutional ESRD  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_07

<b>LABEL:</b>	Monthly Part D Model Segment Code: July
<b>DESCRIPTION:</b>	July Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTD_MODEL_SGMT_CD_07
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	D1 = Community Non-Low Income Continuing Enrollee D2 = Community Low Income Continuing Enrollee D3 = Institutional Continuing Enrollee D4 = New Enrollee Community Non-Low Income Non-ESRD D5 = New Enrollee Community Non-Low Income ESRD D6 = New Enrollee Community Low Income Non-ESRD D7 = New Enrollee Community Low Income ESRD D8 = New Enrollee Institutional Non-ESRD D9 = New Enrollee Institutional ESRD Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).  The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_08

<b>LABEL:</b>	Monthly Part D Model Segment Code: August
<b>DESCRIPTION:</b>	August Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTD_MODEL_SGMT_CD_08
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	D1 = Community Non-Low Income Continuing Enrollee D2 = Community Low Income Continuing Enrollee D3 = Institutional Continuing Enrollee D4 = New Enrollee Community Non-Low Income Non-ESRD D5 = New Enrollee Community Non-Low Income ESRD D6 = New Enrollee Community Low Income Non-ESRD D7 = New Enrollee Community Low Income ESRD D8 = New Enrollee Institutional Non-ESRD D9 = New Enrollee Institutional ESRD Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).  The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_09

**LABEL:** Monthly Part D Model Segment Code: September

**DESCRIPTION:** September Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTD\_MODEL\_SGMT\_CD\_09

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** D1 = Community Non-Low Income Continuing Enrollee  
D2 = Community Low Income Continuing Enrollee  
D3 = Institutional Continuing Enrollee  
D4 = New Enrollee Community Non-Low Income Non-ESRD  
D5 = New Enrollee Community Non-Low Income ESRD  
D6 = New Enrollee Community Low Income Non-ESRD  
D7 = New Enrollee Community Low Income ESRD  
D8 = New Enrollee Institutional Non-ESRD  
D9 = New Enrollee Institutional ESRD  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_10

**LABEL:** Monthly Part D Model Segment Code: October

**DESCRIPTION:** October Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.

**LONG NAME:** PTD\_MODEL\_SGMT\_CD\_10

**TYPE:** CHAR

**LENGTH:** 2

**SOURCE:** CMS Risk Adjustment File

**VALUES:** D1 = Community Non-Low Income Continuing Enrollee  
D2 = Community Low Income Continuing Enrollee  
D3 = Institutional Continuing Enrollee  
D4 = New Enrollee Community Non-Low Income Non-ESRD  
D5 = New Enrollee Community Non-Low Income ESRD  
D6 = New Enrollee Community Low Income Non-ESRD  
D7 = New Enrollee Community Low Income ESRD  
D8 = New Enrollee Institutional Non-ESRD  
D9 = New Enrollee Institutional ESRD  
Null/missing = Not applicable (this model was not run for the beneficiary)

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_11

- LABEL:** Monthly Part D Model Segment Code: November
- DESCRIPTION:** November Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
- LONG NAME:** PTD\_MODEL\_SGMT\_CD\_11
- TYPE:** CHAR
- LENGTH:** 2
- SOURCE:** CMS Risk Adjustment File
- VALUES:** D1 = Community Non-Low Income Continuing Enrollee  
D2 = Community Low Income Continuing Enrollee  
D3 = Institutional Continuing Enrollee  
D4 = New Enrollee Community Non-Low Income Non-ESRD  
D5 = New Enrollee Community Non-Low Income ESRD  
D6 = New Enrollee Community Low Income Non-ESRD  
D7 = New Enrollee Community Low Income ESRD  
D8 = New Enrollee Institutional Non-ESRD  
D9 = New Enrollee Institutional ESRD  
Null/missing = Not applicable (this model was not run for the beneficiary)
- COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).
- The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD\_RISK\_SCORE\_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_MODEL\_SGMT\_CD\_12

<b>LABEL:</b>	Monthly Part D Model Segment Code: December
<b>DESCRIPTION:</b>	December Part D Model Segment Code. This is the Part D risk score model segment used to calculate the resolved risk score for a given month.
<b>LONG NAME:</b>	PTD_MODEL_SGMT_CD_12
<b>TYPE:</b>	CHAR
<b>LENGTH:</b>	2
<b>SOURCE:</b>	CMS Risk Adjustment File
<b>VALUES:</b>	D1 = Community Non-Low Income Continuing Enrollee D2 = Community Low Income Continuing Enrollee D3 = Institutional Continuing Enrollee D4 = New Enrollee Community Non-Low Income Non-ESRD D5 = New Enrollee Community Non-Low Income ESRD D6 = New Enrollee Community Low Income Non-ESRD D7 = New Enrollee Community Low Income ESRD D8 = New Enrollee Institutional Non-ESRD D9 = New Enrollee Institutional ESRD Null/missing = Not applicable (this model was not run for the beneficiary)
<b>COMMENT:</b>	There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).  The corresponding consolidated risk score used in payment calculation for the month is in the monthly PTD_RISK_SCORE_MM variable (where the 01 through 12 at the end of the variable name correspond with the month).

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## PTD\_NE\_COM\_LI\_ESRD

**LABEL:** Part D New Enrollee Community Low Income ESRD Model Score

**DESCRIPTION:** Part D New Enrollee Community Low Income Factor for beneficiaries with end stage renal disease (ESRD). Present only when Part D Risk Category Indicator (variable called PTD\_RISK\_CAT\_CD) is N or P (new enrollee).

**LONG NAME:** PTD\_NE\_COM\_LI\_ESRD

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)  
Examples: 1.508, 1.75

**COMMENT:** -

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## PTD\_NE\_COM\_LI\_NON\_ESRD

**LABEL:** Part D New Enrollee Community Low Income Non-ESRD Model Score

**DESCRIPTION:** Part D New Enrollee Community Low Income Factor for beneficiaries without end stage renal disease (Non-ESRD). Present only when Part D Risk Category Indicator (variable called PTD\_RISK\_CAT\_CD) is N or P (new enrollees).

**LONG NAME:** PTD\_NE\_COM\_LI\_NON\_ESRD

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)  
Examples: 0.536, 1.359

**COMMENT:** New enrollee status is indicated by the monthly model segment code indicator (PTD\_MODEL\_SGMT\_CD\_MM).

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## PTD\_NE\_COM\_NON\_LI\_ESRD

**LABEL:** Part D New Enrollee Community Non-Low Income ESRD Model Score

**DESCRIPTION:** Part D New Enrollee Community Non-Low Income Factor for beneficiaries with end stage renal disease (ESRD). Present only when Part D Risk Category Indicator (variable called PTD\_RISK\_CAT\_CD) is N or P (new enrollees).

**LONG NAME:** PTD\_NE\_COM\_NON\_LI\_ESRD

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)  
Examples: 0.509, 1.408

**COMMENT:** ESRD and new enrollee status is indicated by the monthly model segment code indicator (PTD\_MODEL\_SGMT\_CD\_MM).

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## PTD\_NE\_COM\_NON\_LI\_NON\_ESRD

**LABEL:** Part D New Enrollee Community Non-Low Income Non-ESRD Model Score

**DESCRIPTION:** Part D New Enrollee Community Non-Low Income Factor for beneficiaries without end stage renal disease (Non-ESRD). Present only when Part D Risk Category Indicator (variable called PTD\_RISK\_CAT\_CD) is N or P (new enrollees).

**LONG NAME:** PTD\_NE\_COM\_NON\_LI\_NON\_ESRD

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)  
Examples: 0.78, 0.993

**COMMENT:** New enrollee status is indicated by the monthly model segment code indicator (PTD\_MODEL\_SGMT\_CD\_MM).

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## PTD\_NE\_INST

**LABEL:** Part D New Enrollee Institutional Non-ESRD Model Score

**DESCRIPTION:** Part D New Enrollee Institutional Factor for beneficiaries without end stage renal disease (Non-ESRD). Present only when Part D Risk Category Indicator (variable called PTD\_RISK\_CAT\_CD) is N or P (new enrollees).

**LONG NAME:** PTD\_NE\_INST

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)  
Examples: 1.237, 2.226

**COMMENT:** New enrollee and Institutional status is indicated by the monthly model segment code indicator (PTD\_MODEL\_SGMT\_CD\_MM).

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## PTD\_NE\_INST\_ESRD

**LABEL:** Part D New Enrollee Institutional ESRD Model Score

**DESCRIPTION:** Part D New Enrollee Institutional Factor for beneficiaries with end stage renal disease (ESRD). Present only when Part D Risk Category Indicator (variable called PTD\_RISK\_CAT\_CD) is N or P (new enrollees).

**LONG NAME:** PTD\_NE\_INST\_ESRD

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.0010 and 99.9990  
Null/missing = Not applicable (this model was not run for the beneficiary)  
Example: 2.249

**COMMENT:** ESRD, new enrollee and Institutional status is indicated by the monthly model segment code indicator (PTD\_MODEL\_SGMT\_CD\_MM).

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## PTD\_RISK\_CAT\_CD

**LABEL:** Part D Risk Category Score

**DESCRIPTION:** Part D Risk Enrollment Category Code. This code indicates the enrollment status of the beneficiary during the data collection period and therefore, which risk models are applicable to that beneficiary.

**LONG NAME:** PTD\_RISK\_CAT\_CD

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Risk Adjustment File

**VALUES:** N = Beneficiary has < 12 months Part A and < 12 months Part B, New Enrollee  
Beneficiary with < 12 months Part A  
C = Beneficiary has 12 months Part B, Full Risk Beneficiary  
P = Beneficiary has < 12 months Part B and 12 months Part A, New Enrollee Beneficiary  
with 12 months Part A

**COMMENT:** The data collection period is the year prior to the payment year (e.g., 2013 for the 2014 payment year).

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## PTD\_RISK\_SCORE\_01

**LABEL:** Monthly Part D Resolved Risk Score: January

**DESCRIPTION:** January Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_01

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_02

**LABEL:** Monthly Part D Resolved Risk Score: February

**DESCRIPTION:** February Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_02

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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### PTD\_RISK\_SCORE\_03

**LABEL:** Monthly Part D Resolved Risk Score: March

**DESCRIPTION:** March Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_03

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_04

**LABEL:** Monthly Part D Resolved Risk Score: April

**DESCRIPTION:** April Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_04

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_05

**LABEL:** Monthly Part D Resolved Risk Score: May

**DESCRIPTION:** May Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_05

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_06

**LABEL:** Monthly Part D Resolved Risk Score: June

**DESCRIPTION:** June Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_06

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_07

**LABEL:** Monthly Part D Resolved Risk Score: July

**DESCRIPTION:** July Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_07

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_08

**LABEL:** Monthly Part D Resolved Risk Score: August

**DESCRIPTION:** August Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_08

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_09

**LABEL:** Monthly Part D Resolved Risk Score: September

**DESCRIPTION:** September Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_09

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_10

**LABEL:** Monthly Part D Resolved Risk Score: October

**DESCRIPTION:** October Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_10

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_11

**LABEL:** Monthly Part D Resolved Risk Score: November

**DESCRIPTION:** November Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_11

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## PTD\_RISK\_SCORE\_12

**LABEL:** Monthly Part D Resolved Risk Score: December

**DESCRIPTION:** December Part D Risk Score. This field contains the consolidated risk score used in payment calculation for that particular month.

**LONG NAME:** PTD\_RISK\_SCORE\_12

**TYPE:** NUM

**LENGTH:** 7.4

**SOURCE:** CMS Risk Adjustment File

**VALUES:** Values between 00.159 and 11.280  
Null/missing = Beneficiary did not have Medicare Part A or B enrollment for the month

**COMMENT:** There are 12 monthly variables – where the 01 through 12 at the end of the variable name correspond with the month (e.g., 01 is January and 12 is December).

The Part D risk score model segment used to calculate the resolved risk score for a given month is identified using the corresponding monthly model segment code variable (PTD\_MODEL\_SGMT\_CD\_MM).

The beneficiary status (i.e., low income status and ESRD status) was based on the characteristics of the beneficiaries in April 2015.

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## RFRNC\_YR

**LABEL:** Reference (Payment) Year

**DESCRIPTION:** Reference (Payment) Year for the data file.

**LONG NAME:** RFRNC\_YR

**TYPE:** NUM

**LENGTH:** 8

**SOURCE:** CCW (derived)

**VALUES:** 2015

**COMMENT:** Beneficiary-level scores apply for a payment year, and are based on information from the prior year (i.e., payment risk scores are prospective).

The risk adjustment models use a prospective, rather than a concurrent model. That means that information from the year prior to the payment year (or prediction year) is used to identify the Hierarchical Condition Categories (HCC) from claims.

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