# **Chronic Conditions Warehouse**

Your source for national CMS Medicare and Medicaid research data

# **Chronic Conditions Warehouse Virtual Research Data Center**

# CODEBOOK: Medicare Oncology Care Model Files

MAY 2024 | VERSION 1.0

# **Revision Log**

Date	Changed by	Revisions	Version
May 2024	K. Schneider	Initial release of codebook	1.0

# Tips on Navigating the Codebook

This document is a detailed codebook that describes each variable in the Medicare Oncology Care Model (OCM) research files. Because the files have many variables, the CCW team has included several ways for users to quickly find the information they need:

- A complete listing of all variables in the files, in alphabetical order based on their SAS variable names
- Individual entries for each variable that contain a short description of the variable, the possible values for the variable, and, in many cases, notes that discuss how the variable was constructed and should be used

The CCW team has included hyperlinks throughout the codebook to make it easier for analysts to navigate between the table of contents and the detailed entries for the individual variables:

- Clicking on any variable name in the Table of Contents takes you to the detailed description for that variable
- From the detailed description for any individual variable, clicking on the 'Back to TOC' link after each variable description takes you back to the Table of Contents

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## Variable Details

This section of the codebook contains one entry for each variable in the Medicare Oncology Care Model files. Each entry contains variable details to facilitate understanding and use of the variables.

#### AJCC\_EDITION

LABEL: AJCC Cancer Staging Edition

**DESCRIPTION:** The edition of the American Joint Committee on Cancer (AJCC) Cancer Staging manual used to

describe the patient's cancer stage.

**SHORT NAME:** AJCC\_EDITION

LONG NAME: AJCC\_EDITION

TYPE: CHAR

**LENGTH:** 3

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

VALUES: 7th

8th

Null/missing = OCM participant did not report the staging data or it was invalid (reference details in

the RPTD TO RGSTRY codebook entry)

**COMMENT:** For any initial diagnosis date (INITL\_DGNS\_DT) after 1/1/2018, this variable indicates whether the

AJCC 7<sup>th</sup> edition or the AJCC 8<sup>th</sup> Edition was reported. AJCC 7<sup>th</sup> Edition is effective for cancer patients diagnosed through 12/31/2017; AJCC 8th Edition is effective for cancer patients diagnosed on or after 1/1/2018. To support participants that did not have AJCC 8<sup>th</sup> Edition staging available, the OCM Data Registry accepted either AJCC 7<sup>th</sup> Edition or AJCC 8th Edition staging values for cancer patients

diagnosed on or after 1/1/2018.

#### BENE\_ID

LABEL: Encrypted CCW Beneficiary ID

**DESCRIPTION:** The unique CCW identifier for a beneficiary.

The CCW assigns a unique beneficiary identification number to each individual who receives Medicare and/or Medicaid and uses that number to identify an individual's records in all CCW data files (e.g., Medicare claims and enrollment, T-MSIS claims, and MDS assessment data).

This number does not change during a beneficiary's lifetime, and CCW uses each number only once.

The BENE\_ID is specific to the CCW and is not applicable to any other identification system or data

source.

SHORT NAME: BENE ID

LONG NAME: BENE\_ID

TYPE: CHAR

LENGTH: 15

**FILE(S):** Clinical and staging

Current clinical status

**SOURCE:** CCW

VALUES: —

COMMENT: —

#### CNCR\_TYPE\_ASGNED

LABEL: OCM-Assigned Cancer Type

**DESCRIPTION:** OCM-assigned cancer type.

**SHORT NAME:** CNCR\_TYPE\_ASGNED

LONG NAME: CNCR\_TYPE\_ASGNED

TYPE: CHAR

LENGTH: 35

**FILE(S):** Clinical and staging

**SOURCE:** CMS Oncology Care Model data

**VALUES:** Acute Leukemia

Anal Cancer Bladder Cancer Breast Cancer CNS Tumor

Chronic Leukemia Endocrine Tumor

Female GU Cancer other than Ovary

Gastro/Esophageal Cancer Head and Neck Cancer

Kidney Cancer Liver Cancer Lung Cancer Lymphoma MDS

Malignant Melanoma Multiple Myeloma Ovarian Cancer Pancreatic Cancer Prostate Cancer

Small Intestine/Colorectal Cancer

**COMMENT:** OCM classified each episode by cancer type (e.g., prostate, lymphoma, breast). Cancer type was

defined using the plurality of cancer diagnoses on qualifying evaluation and management (E&M) provider visits in the carrier file that occurred during the episode. The cancer type is used in

categorizing episodes for reporting, monitoring, and risk adjustment purposes.

## CNCR\_TYPE\_RPTD

**LABEL:** Participant-Reported Cancer Type

**DESCRIPTION:** Participant-reported cancer type.

**SHORT NAME:** CNCR\_TYPE\_RPTD

LONG NAME: CNCR\_TYPE\_RPTD

TYPE: CHAR

LENGTH: 35

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** Acute Leukemia

Anal Cancer Bladder Cancer Breast Cancer CNS Tumor

Chronic Leukemia Endocrine Tumor

Female GU Cancer other than Ovary

Gastro/Esophageal Cancer Head and Neck Cancer

Kidney Cancer Liver Cancer Lung Cancer Lymphoma MDS

Malignant Melanoma Multiple Myeloma Ovarian Cancer Pancreatic Cancer Prostate Cancer

Small Intestine/Colorectal Cancer

Null/missing = cancer type was not reported by OCM participant, or it was invalid (reference details in

the RPTD\_TO\_RGSTRY codebook entry)

COMMENT: -

## CRNT\_CLNCL\_STUS

**LABEL:** Current Clinical Status

**DESCRIPTION:** Current clinical status.

**SHORT NAME:** CRNT\_CLNCL\_STUS

LONG NAME: CRNT\_CLNCL\_STUS

TYPE: CHAR

LENGTH: 45

**FILE(S):** Current clinical status

**SOURCE:** Oncology Care Model Data Registry

#### **VALUES:**

Value	Description
Deceased	Patient is deceased
Initial diagnosis	Treatment of the disease has started, and the disease has not been re-evaluated since
	treatment began
Local or regional	Recurrence is the local or regional return of a solid tumor cancer after a clinically
recurrence/Relapse	disease-free interval. Relapse is the return of a leukemia, lymphoma, or other
	hematopoietic malignancy that was not previously clinically apparent or symptomatic
Metastasis	Evidence of cancer in a site other than the primary tumor site that was not present at
	initial diagnosis or initial relapse
No evidence of	When radiological examinations or complete surgical resection of tumor with negative
disease/Remission	margins show no evidence of cancer in a patient who is being or has been treated for
	cancer (including a previous recurrence/relapse); or there is no morphological
	evidence of leukemia
Progressive disease	Cancer continues to grow or spread since initial diagnosis.
Recurrent or	Defined as "Local or Regional Recurrence/Relapse" or "Progressive Disease" as above.
progressive disease	This status was only available to report prior to July 1, 2017, and will only appear for
	patients whose episodes had not yet begun
Responding	Cancer is decreasing in extent or severity in response to treatment
Stable disease	Cancer is neither decreasing nor increasing in extent or severity
Stable, Responding,	Defined as "Stable Disease," "Responding," or "No Evidence of Disease/Remission" as
or No Evidence of	above. This status was only available to report prior to July 1, 2017, and will only
Disease	appear for patients whose episodes had not yet begun.

#### **COMMENT:**

Participants were required to enter current clinical status at least one time during each 6-month episode, and were expected to update the current clinical status if changes occurred. When there was documentation to support a current clinical status value of "Metastasis" during the episode, practices were instructed to prioritize reporting this value over other current clinical statuses that were documented during the episode, if they only going to report one status during the episode. Current clinical status may not have been reported for every episode.

#### CRNT\_CLNCL\_STUS\_DT

**LABEL:** Current Clinical Status Date

**DESCRIPTION:** The date the current clinical status (variable called CRNT\_CLNCL\_STUS) was reported.

**SHORT NAME:** CRNT\_CLNCL\_STUS\_DT

LONG NAME: CRNT\_CLNCL\_STUS\_DT

TYPE: DATE

LENGTH: 8

**FILE(S):** Current Clinical Status

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** Ex - 08/22/2019

**COMMENT:** Formatted as MMDDYY10 in SAS.

Current clinical status may not have been entered for every episode. Current clinical status date is not

available for episodes occurring in performance period 3 (PRFMNC\_PRD =3).

#### **DOB**

**LABEL:** Beneficiary Date of Birth

**DESCRIPTION:** Beneficiary date of birth.

**SHORT NAME: DOB** 

LONG NAME: DOB

TYPE: DATE

LENGTH: 8

**FILE(S):** Clinical and staging

**SOURCE:** CCW Master Beneficiary Summary File (MBSF)

**VALUES:** Ex - 10/12/1945

**COMMENT:** The CMS Oncology Care Model team obtained this information from the MBSF.

Formatted as MMDDYY10 in SAS

#### DOD

**LABEL:** Beneficiary Date of Death

**DESCRIPTION:** Beneficiary date of death.

**SHORT NAME:** DOD

LONG NAME: DOD

TYPE: DATE

LENGTH: 8

**FILE(S):** Clinical and staging

**SOURCE:** CCW Master Beneficiary Summary File (MBSF)

**VALUES:** Ex - 10/12/2020

**COMMENT:** The CMS Oncology Care Model team obtained this information from the MBSF.

Formatted as MMDDYY10 in SAS

#### **EPSD BGN DT**

**LABEL:** Episode Beginning Date

**DESCRIPTION:** Episode beginning date.

**SHORT NAME:** EPSD\_BGN\_DT

LONG NAME: EPSD\_BGN\_DT

TYPE: DATE

LENGTH: 8

**FILE(S):** Clinical and staging

**SOURCE:** CMS Oncology Care Model data

**VALUES:** Ex - 10/12/2018

**COMMENT:** Formatted as MMDDYY10 in SAS

In OCM, episodes were initiated on the date of service for an initial Part B chemotherapy drug claim with a corresponding cancer diagnosis on the claim, or on the fill date for an initial Part D chemotherapy drug claim with a corresponding Part B claim for cancer on the date of, or in the 59 days preceding, the drug claim. Episodes continued for six months after the triggering chemotherapy event, as long as the beneficiary met eligibility requirements for all six months; the ending date of the episode is the variable EPSD\_END\_DT. Beneficiaries who continued to receive chemotherapy after completing the six-month episode initiated a new episode.

#### **EPSD END DT**

**LABEL:** Episode Ending Date

**DESCRIPTION:** Episode ending date.

**SHORT NAME:** EPSD\_END\_DT

**LONG NAME:** EPSD\_END\_DT

TYPE: DATE

LENGTH: 8

**FILE(S):** Clinical and staging

**SOURCE:** CMS Oncology Care Model data

**VALUES:** Ex - 04/12/2019

**COMMENT:** Formatted as MMDDYY10 in SAS

In OCM, episodes were initiated on the date of service for an initial Part B chemotherapy drug claim with a corresponding cancer diagnosis on the claim, or on the fill date for an initial Part D chemotherapy drug claim with a corresponding Part B claim for cancer on the date of, or in the 59 days preceding, the drug claim (variable called EPSD\_BGN\_DT). Episodes continued for six months after the triggering chemotherapy event, as long as the beneficiary met eligibility requirements for all six months; the ending date of the episode is this variable (EPSD\_END\_DT). Beneficiaries who continued to receive chemotherapy after completing the six-month episode initiated a new episode.

#### ESTROGEN\_RECEPTOR

**LABEL:** Breast Cancer Estrogen Receptor

**DESCRIPTION:** Breast cancer estrogen receptor.

**SHORT NAME:** ESTROGEN\_RECEPTOR

LONG NAME: ESTROGEN\_RECEPTOR

TYPE: CHAR

LENGTH: 30

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** None Selected

Not Tested Negative Positive

Null/missing = n/a (not breast cancer); or not reported by OCM participant (reference details in the

RPTD\_TO\_RGSTRY codebook entry)

**COMMENT:** Practices were required to report clinical and staging data associated with each beneficiary's assigned

cancer. Estrogen Receptor (ER) is routinely tested for breast cancer and is necessary for staging and

treatment decisions. This variable is only populated for episodes with breast cancer.

## **HER2\_AMPLIFICATION**

**LABEL:** HER2 Amplification

**DESCRIPTION:** HER2 amplification.

**SHORT NAME:** HER2\_AMPLIFICATION

LONG NAME: HER2\_AMPLIFICATION

TYPE: CHAR

LENGTH: 30

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** None Selected

Not Tested Equivocal Negative Positive

Null/missing = n/a (not breast gastro/esophageal cancer); or not reported by OCM participant

(reference details in the RPTD\_TO\_RGSTRY codebook entry)

**COMMENT:** Practices were required to report clinical and staging data associated with each beneficiary's assigned

cancer. HER2 Amplification is routinely tested for breast cancer and gastro/esophageal cancer. It is necessary for breast cancer staging and helps determine treatment decisions. This variable is only

populated for episodes with breast cancer or gastro/esophageal cancer.

#### **HISTOLOGY**

LABEL: Histology

**DESCRIPTION:** Histology.

**SHORT NAME: HISTOLOGY** 

**LONG NAME:** HISTOLOGY

TYPE: CHAR

LENGTH: 30

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** Adenocarcinoma

Adenocarcinoma, NOS Carcinoma, NOS Inflammatory

NSCLC - Adenocarcinoma

NSCLC - Large Cell NSCLC - Squamous Cell Non-small cell carcinoma

None Selected

Other

Small Cell Lung Cancer Small cell carcinoma, NOS Squamous cell carcinoma Squamous cell carcinoma, NOS

Null/missing = n/a (not applicable for the type of cancer reported); or not reported by OCM

participant (reference details in the RPTD\_TO\_RGSTRY codebook entry)

**COMMENT:** Practices were required to report clinical and staging data associated with each beneficiary's assigned

cancer. This variable is only populated for episodes with breast cancer, some types of

gastro/esophageal cancer (ICD10 diagnosis codes C15.3, C15.4, C15.5, C15.8, C15.9, C16.0), and some types of lung cancer (ICD10 diagnosis codes C34.00, C34.01, C34.02, C34.10, C34.11, C34.12, C34.2,

C34.30, C34.31, C34.32, C34.80, C34.81, C34.82, C34.90, C34.91, C34.92, C39.0, and C39.9).

#### ICD10\_CNCR\_DGNS\_CD\_RPTD

LABEL: ICD10 Cancer Diagnosis Code Reported by Participant

**DESCRIPTION:** ICD10 cancer diagnosis code reported by participant. The diagnosis code for the cancer type is

classified using the International Classification of Diseases, 10<sup>th</sup> Revision, clinical modification (ICD-10-

CM).

**SHORT NAME:** ICD10\_CNCR\_DGNS\_CD\_RPTD

LONG NAME: ICD10\_CNCR\_DGNS\_CD\_RPTD

TYPE: CHAR

LENGTH: 7

**FILE(S):** Clinical and Staging

**Current Clinical Status** 

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** 3- to 7-digit alphanumeric value (without decimals)

Ex — C50211

**COMMENT:** Practices were required to report clinical and staging data associated with each beneficiary's assigned

cancer.

# INITL\_DGNS\_DT

LABEL: Initial Diagnosis Date

**DESCRIPTION:** Initial diagnosis date.

**SHORT NAME:** INITL\_DGNS\_DT

LONG NAME: INITL\_DGNS\_DT

TYPE: DATE

LENGTH: 8

**FILE(S):** Clinical and staging

Current clinical status

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** Ex - 03/29/2015

**COMMENT:** Formatted as MMDDYY10 in SAS

#### **METASTASIS**

**LABEL:** Metastasis Staging

**DESCRIPTION:** Metastasis staging.

**SHORT NAME:** METASTASIS

**LONG NAME:** METASTASIS

TYPE: CHAR

LENGTH: 30

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** None Selected,

M0,
M1,
M1a,
M1a(0),
M1a(1),
M1b,
M1b(0),
M1b(1),
M1c,
M1c(1),
M1c,
M1c(1),
M1d,
M1d(0),
M1d(1),
cM0(i+),

pM1, pM1a, pM1b, pM1c

Null/missing = n/a (not required for cancer type); or not reported by OCM participant (reference details in the RPTD\_TO\_RGSTRY codebook entry)

#### COMMENT:

Practices were required to report clinical and staging data associated with each beneficiary's assigned cancer. The Metastasis (M) category describes the presence of distant metastasis in sites and/or organs outside the local tumor area and regional nodes as defined by cancer site.

Metastasis reporting was required for breast cancer, female GU other than ovarian cancer, gastroesophageal cancer, kidney cancer, malignant melanoma, ovarian cancer, pancreatic cancer, and prostate cancer, and for the following cancer types for specific diagnosis codes:

Anal cancer — all diagnoses (except for C21.2 under the AJCC 8<sup>th</sup> edition)

- Bladder cancer C65.1, C65.2, C65.9, C66.1, C66.2, C66.9, C67.0, C67.1, C67.2, C67.3, C67.4,
   C67.5, C67.6, C67.7, C67.8, C67.9, and C68.0
- Endocrine tumors C73, C74.00, C74.01, C74.02, C74.10, C74.11, C74.12, C74.90, C74.91, C74.92, C7A.010, C7A.011, C7A.012, C7A.020, C7A.021, C7A.022, C7A.023, C7A.024, C7A.025, C7A.026, C7A.029, C7A.090, C7A.091 (AJCC 8<sup>th</sup> edition only), C7A.092, C7A.1, and C7A.8
- Head and neck cancer AJCC 8<sup>th</sup> edition: all diagnoses EXCEPT C30.1, C33, C69.10, C69.11, C69.12, C69.80, C69.81, C69.82, C69.90, C69.91, and C69.92. AJCC 7<sup>th</sup> edition: all diagnoses EXCEPT C14.0, C14.2, C14.8, C30.1, C31.8, C31.9, C32.3, C33, C69.10, C69.11, C69.12, C69.90, C69.91, C69.92, and C76.0
- Liver cancer C22.0, C22.1, C22.2, C22.3, C22.4, C22.7, C22.8, C22.9, C23, C24.0, and C24.1
- Lung cancer C34.00, C34.01, C34.02, C34.10, C34.11, C34.12, C34.2, C34.30, C34.31, C34.32, C34.80, C34.81, C34.82, C34.90, C34.91, C34.92, C45.0, C45.7, and C45.9
- Lymphoma AJCC 8<sup>th</sup> edition only: C84.00, C84.01, C84.02, C84.03, C84.04, C84.05, C84.06, C84.07, C84.08, C84.09, C84.10, C84.11, C84.12, C84.13, C84.14, C84.15, C84.16, C84.17, C84.18, C84.19, C84.A0, C84.A1, C84.A2, C84.A3, C84.A4, C84.A5, C84.A6, C84.A7, C84.A8, C84.A9, C86.3, and C86.6
- Small intestine/colorectal cancer C17.0, C17.1, C17.2, C17.8, C17.9, C18.0, C18.1, C18.2, C18.3, C18.4, C18.5, C18.6, C18.7, C18.8, C18.9, C19, and C20

## NODAL\_DISEASE

**LABEL:** Nodal Disease Staging

**DESCRIPTION:** Nodal disease staging.

**SHORT NAME:** NODAL\_DISEASE

LONG NAME: NODAL\_DISEASE

TYPE: CHAR

LENGTH: 30

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** None Selected,

NO, NO(i+), NOa, NOb, N1, N1a, N1b, N1c, N1mi, N2,

N2a, N2b, N2c, N2mi, N3, N3a,

N3a, N3b, N3c, NX,

pN0, pN0(i+), pN0(i-), pN0(mol+), pN0(mol-),

pN1, pN1a, pN1b, pN1c, pN1mi, pN2, pN2a, pN2b, pN2c, pN3, pN3a, pN3b, pN3c, pNX

Null/missing = n/a (not required for cancer type); or not reported by OCM participant (reference details in the RPTD\_TO\_RGSTRY codebook entry)

#### COMMENT:

Practices were required to report clinical and staging data associated with each beneficiary's assigned cancer. Nodal Disease (N) category describes the regional lymph node involvement as defined for each cancer site. It may include the absence or presence of cancer in regional nodes and/or the number of positive nodes, size, or involvement.

Nodal disease reporting was required for breast cancer, female GU other than ovarian cancer, gastroesophageal cancer, kidney cancer, malignant melanoma, ovarian cancer, pancreatic cancer, and prostate cancer, and for the following cancer types for specific diagnosis codes:

- Anal cancer all diagnoses (except for C21.2 under the AJCC 8<sup>th</sup> edition)
- Bladder cancer C65.1, C65.2, C65.9, C66.1, C66.2, C66.9, C67.0, C67.1, C67.2, C67.3, C67.4, C67.5, C67.6, C67.7, C67.8, C67.9, and C68.0
- Endocrine tumors C73, C74.00, C74.01, C74.02, C74.10, C74.11, C74.12, C74.90, C74.91, C74.92, C7A.010, C7A.011, C7A.012, C7A.020, C7A.021, C7A.022, C7A.023, C7A.024, C7A.025, C7A.026, C7A.029, C7A.090, C7A.091 (AJCC 8<sup>th</sup> edition only), C7A.092, C7A.1, and C7A.8
- Head and neck cancer AJCC 8<sup>th</sup> edition: all diagnoses EXCEPT C30.1, C33, C69.10, C69.11, C69.12, C69.80, C69.81, C69.82, C69.90, C69.91, and C69.92. AJCC 7<sup>th</sup> edition: all diagnoses EXCEPT C14.0, C14.2, C14.8, C30.1, C31.8, C31.9, C32.3, C33, C69.10, C69.11, C69.12, C69.90, C69.91, C69.92, and C76.0
- Liver cancer C22.0, C22.1, C22.2, C22.3, C22.4, C22.7, C22.8, C22.9, C23, C24.0, and C24.1
- Lung cancer C34.00, C34.01, C34.02, C34.10, C34.11, C34.12, C34.2, C34.30, C34.31, C34.32,
   C34.80, C34.81, C34.82, C34.90, C34.91, C34.92, C45.0, C45.7, and C45.9
- Lymphoma AJCC 8<sup>th</sup> edition only: C84.00, C84.01, C84.02, C84.03, C84.04, C84.05, C84.06, C84.07, C84.08, C84.09, C84.10, C84.11, C84.12, C84.13, C84.14, C84.15, C84.16, C84.17, C84.18, C84.19, C84.A0, C84.A1, C84.A2, C84.A3, C84.A4, C84.A5, C84.A6, C84.A7, C84.A8, C84.A9, C86.3, and C86.6
- Small intestine/colorectal cancer C17.0, C17.1, C17.2, C17.8, C17.9, C18.0, C18.1, C18.2, C18.3, C18.4, C18.5, C18.6, C18.7, C18.8, C18.9, C19, and C20

# OCM\_PRCTC\_ID

**LABEL:** OCM-Assigned Practice ID

**DESCRIPTION:** OCM-assigned practice ID

**SHORT NAME:** OCM\_PRCTC\_ID

LONG NAME: OCM\_PRCTC\_ID

TYPE: CHAR

LENGTH: 7

**FILE(S):** Clinical and staging

**SOURCE:** CMS Oncology Care Model data

**VALUES:** Ex - OCM-123

**COMMENT:** Formatted as OCM-###

#### PRFMNC PRD

LABEL: OCM 6 Month Performance Period

**DESCRIPTION:** OCM six-month performance period. Episodes of care were organized by performance periods, which

are the six-month periods of time during which a cohort of episodes terminated and was reconciled

together.

**SHORT NAME: PRFMNC PRD** 

LONG NAME: PRFMNC PRD

TYPE: NUM

LENGTH: 3

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** 3 = Episodes beginning July 2, 2017–January 1, 2018

4 = Episodes beginning January 2, 2018–July 1, 2018 5 = Episodes beginning July 2, 2018–January 1, 2019 6 = Episodes beginning January 2, 2019–July 1, 2019 7 = Episodes beginning July 2, 2019–January 1, 2020 8 = Episodes beginning January 2, 2020–July 1, 2020 9 = Episodes beginning July 2, 2020–January 1, 2021 10 = Episodes beginning January 2, 2021–July 1, 2021 11 = Episodes beginning July 2, 2021–December 31, 2021

#### **COMMENT:**

In OCM, episodes are initiated on the date of service for an initial Part B chemotherapy drug claim with a corresponding cancer diagnosis on the claim, or on the fill date for an initial Part D chemotherapy drug claim with a corresponding Part B claim for cancer on the date of, or in the 59 days preceding, the drug claim. Episodes continued for six months after the triggering chemotherapy event, as long as the beneficiary met eligibility requirements for all six months. Beneficiaries who continued to receive chemotherapy after completing the six-month episode initiated a new episode. Data reported for the first two OCM performance periods have not been shared because obstacles were encountered that made the data reported questionable in accuracy and reliability.

## PRMRY\_TUMOR

LABEL: **Primary Tumor Staging** 

**DESCRIPTION:** Primary tumor staging.

**SHORT NAME:** PRMRY\_TUMOR

LONG NAME: PRMRY\_TUMOR

TYPE: CHAR

LENGTH: 30

FILE(S): Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** Multiple Primaries,

None Selected,

T0,

T1,

T1a,

T1a1, T1a2,

T1b, T1b1,

T1b2,

T1c,

T1c1,

T1c2,

T1c3,

T1d,

T1mi,

T2,

T2a,

T2a1,

T2a2,

T2b,

T2c,

T3,

T3a,

T3b,

T3c,

T3d,

T4,

T4a, T4b,

T4c,

T4d,

```
TX.
Ta.
Tis,
Tis (DCIS),
Tis (LCIS),
Tis (Paget's),
Tis (Paget),
pT0,
pT1,
pT1a,
pT1b,
pT1c,
pT1mi,
pT2,
pT2a,
pT2b,
pT2c,
pT3,
pT3a,
pT3b,
pT4,
pT4a,
pT4b,
pT4c,
pT4d,
pTX,
pTa,
pTis,
pTis (DCIS),
pTis (LCIS),
pTis (Paget's),
pTis (Paget)
Null/missing = n/a (not required for cancer type); or not reported by OCM participant (reference
details in the RPTD_TO_RGSTRY codebook entry)
```

#### **COMMENT:**

Practices were required to report clinical and staging data associated with each beneficiary's assigned cancer. Primary Tumor (T) describes the size and/or the extension of the primary tumor specifically defined for each cancer site. Generally, the higher the Primary Tumor category (value), the greater the extent of the disease and generally the worse the prognosis; some exceptions exist.

Primary tumor reporting was required for breast cancer, female GU other than ovarian cancer, gastroesophageal cancer, kidney cancer, malignant melanoma, ovarian cancer, pancreatic cancer, and prostate cancer, and for the following cancer types for specific diagnosis codes:

- Anal cancer all diagnoses (except for C21.2 under the AJCC 8<sup>th</sup> edition)
- Bladder cancer C65.1, C65.2, C65.9, C66.1, C66.2, C66.9, C67.0, C67.1, C67.2, C67.3, C67.4,
   C67.5, C67.6, C67.7, C67.8, C67.9, and C68.0

- Endocrine tumors C73, C74.00, C74.01, C74.02, C74.10, C74.11, C74.12, C74.90, C74.91, C74.92, C7A.010, C7A.011, C7A.012, C7A.020, C7A.021, C7A.022, C7A.023, C7A.024, C7A.025, C7A.026, C7A.029, C7A.090, C7A.091 (AJCC 8<sup>th</sup> edition only), C7A.092, C7A.1, and C7A.8
- Head and neck cancer AJCC 8<sup>th</sup> edition: all diagnoses EXCEPT C30.1, C33, C69.10, C69.11, C69.12, C69.80, C69.81, C69.82, C69.90, C69.91, and C69.92. AJCC 7<sup>th</sup> edition: all diagnoses EXCEPT C14.0, C14.2, C14.8, C30.1, C31.8, C31.9, C32.3, C33, C69.10, C69.11, C69.12, C69.90, C69.91, C69.92, and C76.0
- Liver cancer C22.0, C22.1, C22.2, C22.3, C22.4, C22.7, C22.8, C22.9, C23, C24.0, and C24.1
- Lung cancer C34.00, C34.01, C34.02, C34.10, C34.11, C34.12, C34.2, C34.30, C34.31, C34.32,
   C34.80, C34.81, C34.82, C34.90, C34.91, C34.92, C45.0, C45.7, and C45.9
- Lymphoma AJCC 8<sup>th</sup> edition only: C84.00, C84.01, C84.02, C84.03, C84.04, C84.05, C84.06, C84.07, C84.08, C84.09, C84.10, C84.11, C84.12, C84.13, C84.14, C84.15, C84.16, C84.17, C84.18, C84.19, C84.A0, C84.A1, C84.A2, C84.A3, C84.A4, C84.A5, C84.A6, C84.A7, C84.A8, C84.A9, C86.3, and C86.6
- Small intestine/Colorectal cancer C17.0, C17.1, C17.2, C17.8, C17.9, C18.0, C18.1, C18.2, C18.3, C18.4, C18.5, C18.6, C18.7, C18.8, C18.9, C19, and C20

#### PROGESTERONE\_RECEPTOR

**LABEL:** Breast Cancer Progesterone Receptor

**DESCRIPTION:** Breast cancer progesterone receptor.

**SHORT NAME:** PROGESTERONE\_RECEPTOR

LONG NAME: PROGESTERONE\_RECEPTOR

TYPE: CHAR

LENGTH: 30

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** None Selected

Not Tested Negative Positive

Null/missing = n/a (not breast cancer); or not reported by OCM participant (reference details in the

RPTD\_TO\_RGSTRY codebook entry)

**COMMENT:** Practices were required to report clinical and staging data associated with each beneficiary's assigned

cancer. Progesterone Receptor (PR) is routinely tested for breast cancer and is necessary for staging

and treatment decisions. This variable is only populated for episodes with breast cancer.

#### **RPTD TO RGSTRY**

LABEL: Participant Reported Episode to OCM Registry

**DESCRIPTION:** Indicates whether the OCM participant reported data on this episode to the OCM registry.

**SHORT NAME:** RPTD\_TO\_RGSTRY

**LONG NAME:** RPTD\_TO\_RGSTRY

TYPE: NUM

LENGTH: 3

**FILE(S):** Clinical and staging

**SOURCE:** Oncology Care Model Data Registry

**VALUES:** 0 = No

1 = Yes

**COMMENT:** 

OCM participants submitted staging and clinical data to the OCM Data Registry (OCMR), a web-based data submission and collection tool, for episodes that were attributed to their practice.

Beneficiaries not reported to the OCMR (RPTD\_TO\_RGSTRY=0) have blank values for the following variables in the Clinical and Staging file: AJCC\_EDITION, CNCR\_TYPE\_RPTD, ESTROGEN\_RECEPTOR, HER2\_AMPLIFICATION, HISTOLOGY, ICD10\_CNCR\_DGNS\_CD\_RPTD, INITL\_DGNS\_DT, METASTASIS, NODAL\_DISEASE, PRMRY\_TUMOR, and PROGESTERONE\_RECEPTOR. Such beneficiaries will also not have records in the current clinical status file.

A second group of beneficiaries also has blank values for the variables listed above, those where RPTD\_TO\_RGSTRY = 1 but whose reported values were determined to be invalid for one of the following reasons:

- Participant-reported cancer type (CNCR\_TYPE\_RPTD) not equal to OCM-assigned cancer type (CNCR\_TYPE\_ASGNED)
- 2. Practice reporting the data was not the same as the practice to which the beneficiary's episode was attributed
- 3. Not all information was completely reported, or,
- 4. Multiple records with conflicting values for the primary tumor, nodal disease, and/or metastasis elements were reported (and it was not known which were correct).

In the first five performance periods, if a practice did not report to the OCMR, they were ineligible to be considered for a performance-based payment (reporting was required for at least 75% of episodes). For the 6<sup>th</sup> through 11<sup>th</sup> performance periods, practices were permitted to opt out of reporting due to external burdens associated with the COVID19 PHE, and were still eligible to receive performance-based payments.

#### SEX

**LABEL:** Beneficiary Sex

**DESCRIPTION:** Beneficiary sex.

**SHORT NAME:** SEX

LONG NAME: SEX

TYPE: NUM

**LENGTH:** 3

**FILE(S):** Clinical and staging

**SOURCE:** CCW Master Beneficiary Summary File (MBSF)

**VALUES:** 1 = Male

2 = Female

**COMMENT:** The CMS Oncology Care Model team obtained this information from the MBSF.