



# **Chronic Condition Data Warehouse**

*Your source for national CMS Medicare and Medicaid research data*

## **Accountable Care Organizations (ACO)**

**Pioneer**

**Codebook**

May 2017

Version 1.1

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## Revision History

Revision Date	Version Number	Description	Author(s)
05/30/2017	1.0	Initial release of Codebook for ACO Pioneer file.	Kathy Schneider, Chris Alleman
01/22/2018	1.1	ACO_ID values updated to reflect 4-digit alpha/numeric.	Chris Alleman

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## ACO\_BASELINE\_PBPY

**LABEL:** ACO Baseline Per-Beneficiary-Per-Year Expenditure

**DESCRIPTION:** The Pioneer ACO baseline expenditure expressed on a per-beneficiary-per-year (PBPY) basis.

**SHORT NAME:** ACO\_BASELINE\_PBPY

**LONG NAME:** ACO\_BASELINE\_PBPY

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## ACO\_BLND\_PBPY\_INCR

**LABEL:** The average of the change in the adjusted reference expenditure and the product of the adjusted reference trend and the ACO baseline.

**DESCRIPTION:** The simple average of the change in the adjusted reference expenditure (REF\_PBPY\_CHNG) and the product of the adjusted reference trend and the ACO baseline (ACO\_PBPY\_TRND\_CMPNT).

**SHORT NAME:** ACO\_BLND\_PBPY\_INCR

**LONG NAME:** ACO\_BLND\_PBPY\_INCR

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** -

**VALUES:** -

**COMMENT:** -

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## ACO\_BNMRK\_PBPY

**LABEL:** ACO\_BNMRK\_PBPY

**DESCRIPTION:** ACO Benchmark Per-Beneficiary-Per-Year (PBPY)

**SHORT NAME:** ACO\_BNMRK\_PBPY

**LONG NAME:** ACO\_BNMRK\_PBPY

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## ACO\_ID

**LABEL:** Pioneer ACO Identifier

**DESCRIPTION:** The identifier of the Pioneer ACO with which the beneficiary is aligned.

**SHORT NAME:** ACO\_ID

**LONG NAME:** ACO\_ID

**TYPE:** CHAR

**LENGTH:** 4

**SOURCE:** CMS Pioneer ACO RIF

**VALUES:** 4-digit alpha/numeric value

**COMMENT:** -

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## ACO\_NAME

**LABEL:** Pioneer ACO Name  
**DESCRIPTION:** Name of the Pioneer ACO  
**SHORT NAME:** ACO\_NAME  
**LONG NAME:** ACO\_NAME  
**TYPE:** CHAR  
**LENGTH:** 64  
**SOURCE:** CMS Participating Provider Pioneer ACO RIF  
**VALUES:** -  
**COMMENT:** -

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## ACO\_PBPY\_TRND\_CMPNT

**LABEL:** The product of the adjusted reference trend and the ACO baseline

**DESCRIPTION:** The product of the adjusted reference trend (variable called REF\_PBPY\_TRND) and the ACO baseline.

**SHORT NAME:** ACO\_PBPY\_TRND\_CMPNT

**LONG NAME:** ACO\_PBPY\_TRND\_CMPNT

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Comparison uses per-beneficiary-per-year (PBPY) expenditures.

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## ACO\_PERFYEAR\_EXPND

**LABEL:** ACO Performance-year Expenditure

**DESCRIPTION:** The aggregate performance-year (PY) expenditure of the Pioneer ACO.

**SHORT NAME:** ACO\_PERFYEAR\_EXPND

**LONG NAME:** ACO\_PERFYEAR\_EXPND

**TYPE:** NUM

**LENGTH:** 16

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## ACO\_PERFYEAR\_PBPY

**LABEL:** ACO Performance-year Per-Beneficiary-Per-Year

**DESCRIPTION:** The performance-year (PY) expenditure of the Pioneer ACO expressed on a per-beneficiary-per-year (PBPY) basis.

**SHORT NAME:** ACO\_PERFYEAR\_PBPY

**LONG NAME:** ACO\_PERFYEAR\_PBPY

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## ADJ\_REF\_BASELINE\_PBPY

**LABEL:** Adjusted Reference Baseline Per-Beneficiary-Per-Year Expenditure

**DESCRIPTION:** The adjusted reference baseline expenditure for the Pioneer ACO expressed on a per-beneficiary-per-year (PBPY) basis.

**SHORT NAME:** ADJ\_REF\_BASELINE\_PBPY

**LONG NAME:** ADJ\_REF\_BASELINE\_PBPY

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## ADJ\_REF\_PERFYEAR\_PBPY

**LABEL:** Adjusted Reference Performance-year Per-Beneficiary-Per-Year

**DESCRIPTION:** The adjusted reference performance-year expenditure for the Pioneer ACO expressed on a PBPY basis.

**SHORT NAME:** ADJ\_REF\_PERFYEAR\_PBPY

**LONG NAME:** ADJ\_REF\_PERFYEAR\_PBPY

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** -

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## ALGN\_ELIG\_2009

**LABEL:** Alignment eligible to a Pioneer ACO in CY2011

**DESCRIPTION:** Beneficiary was eligible for alignment to a Pioneer ACO in CY 2011

**SHORT NAME:** ALGN\_ELIG\_2009

**LONG NAME:** ALGN\_ELIG\_2009

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 0 = Not alignment-eligible in CY2009  
1 = Alignment-eligible in CY2009

**COMMENT:** 2009 is a baseline year (not a performance year for Pioneer ACOs).

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## ALGN\_ELIG\_2010

**LABEL:** Alignment eligible to a Pioneer ACO in CY2011

**DESCRIPTION:** Beneficiary was eligible for alignment to a Pioneer ACO in CY 2011

**SHORT NAME:** ALGN\_ELIG\_2010

**LONG NAME:** ALGN\_ELIG\_2010

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 0 = Not alignment-eligible in CY2010  
1 = Alignment-eligible in CY2010

**COMMENT:** 2010 is a baseline year (not a performance year for Pioneer ACOs).

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## ALGN\_ELIG\_2011

**LABEL:** Alignment eligible to a Pioneer ACO in CY2011

**DESCRIPTION:** Beneficiary was eligible for alignment to a Pioneer ACO in CY 2011

**SHORT NAME:** ALGN\_ELIG\_2011

**LONG NAME:** ALGN\_ELIG\_2011

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 0 = Not alignment-eligible in CY2011  
1 = Alignment-eligible in CY2011

**COMMENT:** -

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## ALGN\_ELIG\_2012

**LABEL:** Alignment eligible to a Pioneer ACO in CY2012

**DESCRIPTION:** Beneficiary was eligible for alignment to a Pioneer ACO in CY 2012

**SHORT NAME:** ALGN\_ELIG\_2012

**LONG NAME:** ALGN\_ELIG\_2012

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 0 = Not alignment-eligible in CY2012  
1 = Alignment-eligible in CY2012  
Null/missing

**COMMENT:** Available only for beneficiaries aligned in performance year 2 (PY2, which corresponds to CY 2013).

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### ALGN\_ELIG\_2013

**LABEL:** Alignment eligible to a Pioneer ACO in CY2013

**DESCRIPTION:** Beneficiary was eligible for alignment to a Pioneer ACO in CY 2013

**SHORT NAME:** ALGN\_ELIG\_2013

**LONG NAME:** ALGN\_ELIG\_2013

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 0 = Not alignment-eligible in CY2013  
1 = Alignment-eligible in CY2013  
Null/missing

**COMMENT:** Available only for beneficiaries aligned in performance year 3 (PY3, which corresponds to CY 2014).

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## ALGND\_BENE\_CT

**LABEL:** Aligned Beneficiary Count

**DESCRIPTION:** The number of beneficiaries aligned with the Pioneer ACO in the year-end financial settlement.

**SHORT NAME:** ALGND\_BENE\_CT

**LONG NAME:** ALGND\_BENE\_CT

**TYPE:** NUM

**LENGTH:** 8

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** -

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## ALGND\_BENE\_MNTHS

**LABEL:** Aligned Beneficiary Months

**DESCRIPTION:** The number of person-months accrued during the performance-year by the beneficiaries aligned with the Pioneer ACO.

**SHORT NAME:** ALGND\_BENE\_MNTHS

**LONG NAME:** ALGND\_BENE\_MNTHS

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** -

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## AWI\_GPCI\_ADJ

**LABEL:** Area Wage Index / Geographic Practice Cost Index Adjustment

**DESCRIPTION:** The Area Wage Index (AWI)/Geographic Practice Cost Index (GPCI) adjustment applicable to the Pioneer ACO in the performance year (PY)

**SHORT NAME:** AWI\_GPCI\_ADJ

**LONG NAME:** AWI\_GPCI\_ADJ

**TYPE:** NUM

**LENGTH:** 10

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** Ex - 0.000000, 0.022841

**COMMENT:** -

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## BENE\_ID

**LABEL:** Encrypted CCW Beneficiary ID

**DESCRIPTION:** The unique CCW identifier for a beneficiary. The CCW assigns a unique beneficiary identification number to each individual who receives Medicare and/or Medicaid, and uses that number to identify an individual's records in all CCW data files (e.g., Medicare claims, MAX claims, MDS assessment data).

This number does not change during a beneficiary's lifetime and each number is used only once.

The BENE\_ID is specific to the CCW and is not applicable to any other identification system or data source.

**SHORT NAME:** BENE\_ID

**LONG NAME:** BENE\_ID

**TYPE:** CHAR

**LENGTH:** 15

**SOURCE:** CCW

**VALUES:** -

**COMMENT:** -

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## BENE\_ACO\_YEAR

**LABEL:** Year of beneficiary claims

**DESCRIPTION:** The performance year (PY). Corresponds with the calendar year (CY) of claims.

**SHORT NAME:** BENE\_ACO\_YEAR

**LONG NAME:** BENE\_ACO\_YEAR

**TYPE:** NUM

**LENGTH:** 4

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 2012, 2013, 2014

**COMMENT:** -

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## BENE\_CTY\_CD

**LABEL:** Beneficiary County Code

**DESCRIPTION:** This field is the Social Security Administration (SSA) 5-character county code for the beneficiary's county-of-residence in January of the performance year

**SHORT NAME:** BENE\_CTY\_CD

**LONG NAME:** BENE\_CTY\_CD

**TYPE:** NUM

**LENGTH:** 5

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** 5-digit numeric code

**COMMENT:** The coding system is the SSA system, not the Federal Information Processing Standard (FIPS). The first 2 digits are the state code, and the next 3 digits are the county within the state.

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## BNCH\_MTHD

**LABEL:** Benchmarking Method

**DESCRIPTION:** The variant of the Pioneer benchmarking method elected by the Pioneer ACO in the performance year.

**SHORT NAME:** BNCH\_MTHD

**LONG NAME:** BNCH\_MTHD

**TYPE:** NUM

**LENGTH:** 1

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:**  
1 = Benchmark Method 1  
2 = Benchmark Method 2  
3 = Benchmark Method 3  
4 = Benchmark Method 4  
5 = Benchmark Method 5  
6 = Benchmark Method 6

**COMMENT:** -

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## BNCHMRK\_EXPEND

**LABEL:** Benchmark Expenditure

**DESCRIPTION:** The aggregate expenditure benchmark for the Pioneer ACO, equal to the product of ACO\_BNMRK\_PBPY and the aligned beneficiary person months that accrued during the performance-year (PY).

**SHORT NAME:** BNCHMRK\_EXPEND

**LONG NAME:** BNCHMRK\_EXPEND

**TYPE:** NUM

**LENGTH:** 16

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## CCN

**LABEL:** CMS Certification Number (CCN) of the Participating Provider

**DESCRIPTION:** The CMS Certification Number (CCN) of a participating institutional provider (when applicable and available).

**SHORT NAME:** CCN

**LONG NAME:** CCN

**TYPE:** CHAR

**LENGTH:** 6

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** -

**COMMENT:** -

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## DOB

**LABEL:** Beneficiary date of birth

**DESCRIPTION:** This is the beneficiary's date of birth.

**SHORT NAME:** DOB

**LONG NAME:** DOB

**TYPE:** DATE

**LENGTH:** 8

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** Format is DDMMCCYY

**COMMENT:** -

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## DOD

**LABEL:** Date of Death

**DESCRIPTION:** This variable indicates the date of death of the beneficiary. A null value means that no death date was reported for the beneficiary.

**SHORT NAME:** DOD

**LONG NAME:** DOD

**TYPE:** DATE

**LENGTH:** 8

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** Format is DDMMCCYY

**COMMENT:** -

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## EAS\_CAT

**LABEL:** Eligibility-Age-Sex Category

**DESCRIPTION:** A four-character field identifying the specific eligibility-age-sex stratum to which the beneficiary was assigned in the Pioneer benchmarking method.

**SHORT NAME:** EAS\_CAT

**LONG NAME:** EAS\_CAT

**TYPE:** CHAR

**LENGTH:** 4

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** Ex - AF65 = Aged Female age 65-69,  
AM70 = Aged Male age 70-74,  
DF45 = Disabled Female age 45-54,  
EM00 = ESRD Male Age <65

**COMMENT:** The values consist of a character for the eligibility category (A [aged], D [disabled] or E [ESRD]), a character for beneficiary sex (M [male] or F [female]), and two characters giving the lower bound of the age category to which the beneficiary is assigned.

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## ELIG\_CAT

**LABEL:** Eligibility Category

**DESCRIPTION:** An indicator of whether the beneficiary was classified as Aged, Disabled, or ESRD in the Pioneer benchmarking method

**SHORT NAME:** ELIG\_CAT

**LONG NAME:** ELIG\_CAT

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** A = Aged  
D = Disabled  
E = End stage renal disease (ESRD)

**COMMENT:** -

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## EXP\_NCH10

**LABEL:** Expenditure for NCH claim type 10 (HHA)

**DESCRIPTION:** Total Medicare paid amount for Home Health Agency (HHA) services (NCH claim type 10)

**SHORT NAME:** EXP\_NCH10

**LONG NAME:** EXP\_NCH10

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH20

**LABEL:** Expenditure for NCH claim type 20 (SNF)

**DESCRIPTION:** Total Medicare paid amount for Skilled Nursing Facility (SNF) services (NCH claim type 20)

**SHORT NAME:** EXP\_NCH20

**LONG NAME:** EXP\_NCH20

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH30

**LABEL:** Expenditure for NCH claim type 30 (SNF Swing Bed)

**DESCRIPTION:** Total Medicare paid amount for Skilled Nursing Facility (SNF) / Swing-bed services (NCH claim type 30)

**SHORT NAME:** EXP\_NCH30

**LONG NAME:** EXP\_NCH30

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH40

**LABEL:** Expenditure for NCH claim type 40 (HOP)

**DESCRIPTION:** Total Medicare paid amount for Hospital Outpatient (HOP) services (NCH claim type 40)

**SHORT NAME:** EXP\_NCH40

**LONG NAME:** EXP\_NCH40

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH50

**LABEL:** Expenditure for NCH claim type 50 (Hospice)

**DESCRIPTION:** Total Medicare paid amount for Hospice services (NCH claim type 50)

**SHORT NAME:** EXP\_NCH50

**LONG NAME:** EXP\_NCH50

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH60

**LABEL:** Expenditure for NCH claim type 60 (Hospital)

**DESCRIPTION:** Total Medicare paid amount for Inpatient Hospital services (NCH claim type 60)

**SHORT NAME:** EXP\_NCH60

**LONG NAME:** EXP\_NCH60

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH71

**LABEL:** Expenditure for NCH claim type 71 (Physician)

**DESCRIPTION:** Total Medicare paid amount for Physician Services (excluding Durable Medical Equipment [DMEPOS]) (NCH claim type 71)

**SHORT NAME:** EXP\_NCH71

**LONG NAME:** EXP\_NCH71

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH72

**LABEL:** Expenditure for NCH claim type 72 (DME)

**DESCRIPTION:** Total Medicare paid amount for Durable Medical Equipment, prosthetics, orthotics, and supplies (DMEPOS) services non-DMAC (NCH claim type 72)

**SHORT NAME:** EXP\_NCH72

**LONG NAME:** EXP\_NCH72

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH81

**LABEL:** Expenditure for NCH claim type 81 (DMERC)

**DESCRIPTION:** Total Medicare paid amount for Durable Medical Equipment Regional Carrier (DMERC) non-DMEPOS claim (NCH claim type 81)

**SHORT NAME:** EXP\_NCH81

**LONG NAME:** EXP\_NCH81

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## EXP\_NCH82

**LABEL:** Expenditure for NCH claim type 82 (DME/DMERC)

**DESCRIPTION:** Total Medicare paid amount for DMERC; DMEPOS (NCH claim type 82)

**SHORT NAME:** EXP\_NCH82

**LONG NAME:** EXP\_NCH82

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** -

**COMMENT:** Service occurred during the performance year (PY) and was paid by April 1 of the following calendar year. For example, for PY1 (which corresponds to CY2012), this includes claims for services in CY 2012 that were paid by CMS prior to April 1, 2013.

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## FAC\_TYPE

- LABEL:** Facility is Institutional or Professional Provider Type
- DESCRIPTION:** This field indicates whether the participating provider is an Institutional or Professional Provider Type.  
Provider record indicator identifying an institution or professional.
- SHORT NAME:** FAC\_TYPE
- LONG NAME:** FAC\_TYPE
- TYPE:** CHAR
- LENGTH:** 13
- SOURCE:** CMS Participating Provider Pioneer ACO RIF
- VALUES:** Institutional = record identifies an institutional provider  
Professional = record identifies a supplier (physician or non-physician)
- COMMENT:** Institutional indicates the record identifies an institutional provider such as a hospital, SNF, home health agency, or hospice.  
Professional indicates the record identifies a supplier such as a professional, either a physician or non-physician practitioner.

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## GROSS\_SAVINGS

**LABEL:** Gross Savings

**DESCRIPTION:** The difference between the aggregate expenditure benchmark and the performance-year expenditure of the Pioneer ACO.

**SHORT NAME:** GROSS\_SAVINGS

**LONG NAME:** GROSS\_SAVINGS

**TYPE:** NUM

**LENGTH:** 16

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## GROSS\_SAVINGS\_PBPY

**LABEL:** Gross Savings Per-Beneficiary-Per-Year

**DESCRIPTION:** The difference between the ACO benchmark and the ACO performance year (PY) expenditure expressed on a per-beneficiary-per-year (PBPY) basis.

**SHORT NAME:** GROSS\_SAVINGS\_PBPY

**LONG NAME:** GROSS\_SAVINGS\_PBPY

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Baseline and performance year expenditures may be either capped or uncapped as specified in the Pioneer ACO agreement.

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## MSR

**LABEL:** Minimum Savings Rate

**DESCRIPTION:** The minimum savings rate (MSR) selected by the Pioneer ACO for the performance year (PY) under its risk arrangement.

**SHORT NAME:** MSR

**LONG NAME:** MSR

**TYPE:** NUM

**LENGTH:** 5

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** Ex - 0.010, 0.014, 0.019

**COMMENT:** -

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## NPI

**LABEL:** National Provider Identifier (NPI) for Participating Provider

**DESCRIPTION:** The National Provider Identifier (NPI) for a participating individual (in the case of a record for a professional) or organization (in the case of a record for an institution).

**SHORT NAME:** NPI

**LONG NAME:** NPI

**TYPE:** NUM

**LENGTH:** 10

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** -

**COMMENT:** The legal name associated with the NPI is in the field called NPI\_NAME.

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## NPI\_NAME

**LABEL:** Name of the NPI

**DESCRIPTION:** Legal name associated with the National Provider Identifier (NPI). In the case of professionals, the NPI name is the last and first name of the professional. In the case of institutions, the NPI name is the name of the institution.

**SHORT NAME:** NPI\_NAME

**LONG NAME:** NPI\_NAME

**TYPE:** CHAR

**LENGTH:** 70

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** -

**COMMENT:** The NPI number is in the field called "NPI".

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## PERFYEAR\_QUAL\_SCORE

**LABEL:** Performance-year Quality Score

**DESCRIPTION:** The quality score attained by the Pioneer ACO in the performance year (PY).

**SHORT NAME:** PERFYEAR\_QUAL\_SCORE

**LONG NAME:** PERFYEAR\_QUAL\_SCORE

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** Decimal representation of percentage (0.75 = 75%)  
Ex - 0.000000001, 0.896540179

**COMMENT:** -

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## PIONEER\_NAME

**LABEL:** Pioneer ACO Name  
**DESCRIPTION:** Short name of the Pioneer ACO.  
**SHORT NAME:** PIONEER\_NAME  
**LONG NAME:** PIONEER\_NAME  
**TYPE:** CHAR  
**LENGTH:** 14  
**SOURCE:** CMS Pioneer ACO Financial Performance RIF  
**VALUES:** -  
**COMMENT:** -

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## PRFRMF\_YR

**LABEL:** Performance Year

**DESCRIPTION:** The performance year (PY) to which the settlement data apply.

**SHORT NAME:** PRFRMF\_YR

**LONG NAME:** PRFRMF\_YR

**TYPE:** CHAR

**LENGTH:** 3

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** 2012 file = PY1  
2013 file = PY2  
2014 file = PY3

**COMMENT:** -

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## PRVDR\_ACO\_YEAR

**LABEL:** Year of Provider ACO Source Record

**DESCRIPTION:** This field is the year of the provider ACO source record; it corresponds with the calendar year (CY) of claims.

**SHORT NAME:** PRVDR\_ACO\_YEAR

**LONG NAME:** PRVDR\_ACO\_YEAR

**TYPE:** NUM

**LENGTH:** 4

**SOURCE:** -

**VALUES:** 2012, 2013, 2014

**COMMENT:** -

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## PRVDR\_SPCLTY\_CD

**LABEL:** Provider Specialty Code Indicator

**DESCRIPTION:** An indicator of whether the participating provider was considered a primary care specialist for purposes of applying exclusivity rules.

**SHORT NAME:** PRVDR\_SPCLTY\_CD

**LONG NAME:** PRVDR\_SPCLTY\_CD

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** N = non-primary care specialist  
Y = primary care specialist

**COMMENT:** The primary care specialist flag is not set for Performance Year 1 (PY1) providers. It should be noted that the primary care specialist flag is used solely to apply rules governing whether a provider may be listed as a participating provider by more than one Pioneer ACO.

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## PRVDR\_ZIP\_CD

**LABEL:** Provider Zip Code

**DESCRIPTION:** The zip code in which the provider principally practices (when applicable and available).

**SHORT NAME:** PRVDR\_ZIP\_CD

**LONG NAME:** PRVDR\_ZIP\_CD

**TYPE:** CHAR

**LENGTH:** 10

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** -

**COMMENT:** This field uses only numeric characters and may begin with a zero.

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## QLTY\_ADJ\_SSL\_RATE

**LABEL:** Quality Adjustment Shared Savings Loss Rate

**DESCRIPTION:** The quality adjusted shared savings or shared loss (SSL) rate of the Pioneer ACO.

**SHORT NAME:** QLTY\_ADJ\_SSL\_RATE

**LONG NAME:** QLTY\_ADJ\_SSL\_RATE

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** Decimal representation of percentage (0.60 = 60%)  
Ex - 0.000000000, 0.454140625

**COMMENT:** -

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## REF\_PBPY\_CHNG

**LABEL:** Reference Per-Beneficiary-Per-Year Change

**DESCRIPTION:** The difference between the adjusted reference baseline and the adjusted reference performance-year expenditure for the Pioneer ACO.

**SHORT NAME:** REF\_PBPY\_CHNG

**LONG NAME:** REF\_PBPY\_CHNG

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** Comparison uses per-beneficiary-per-year (PBPY) expenditures.

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## REF\_PBPY\_TRND

**LABEL:** Reference Per-Beneficiary-Per-Year Trend

**DESCRIPTION:** The ratio of the difference between the adjusted reference baseline and the adjusted reference performance-year (PY) reference to the adjusted reference baseline for the Pioneer ACO.

**SHORT NAME:** REF\_PBPY\_TRND

**LONG NAME:** REF\_PBPY\_TRND

**TYPE:** NUM

**LENGTH:** 12

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** Decimal representation of percentage (0.05 = 5%)  
Ex - 0.067333565

**COMMENT:** Comparison uses per-beneficiary-per-year (PBPY) expenditures.

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## RISK\_ARNGMNT

**LABEL:** Risk Arrangement

**DESCRIPTION:** The risk sharing arrangement selected by the Pioneer ACO in the performance year (PY).

**SHORT NAME:** RISK\_ARNGMNT

**LONG NAME:** RISK\_ARNGMNT

**TYPE:** CHAR

**LENGTH:** 6

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** Core  
Core A  
Core B  
Alt 1  
Alt 2

**COMMENT:** Risk arrangements are described in materials available on the CMS Innovations Center website (<https://innovation.cms.gov/initiatives/Pioneer-ACO-Model/index.html>).

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## SEX

**LABEL:** Sex

**DESCRIPTION:** This variable indicates the sex of the beneficiary.

**SHORT NAME:** SEX

**LONG NAME:** SEX

**TYPE:** CHAR

**LENGTH:** 1

**SOURCE:** CMS Aligned Beneficiary Pioneer ACO RIF

**VALUES:** M = Male  
F = Female

**COMMENT:** -

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## SHARED\_SAVINGS

**LABEL:** Shared Savings

**DESCRIPTION:** The shared savings (or loss) of the Pioneer ACO, equal to the product of the gross savings and the quality adjusted shared savings rate.

**SHORT NAME:** SHARED\_SAVINGS

**LONG NAME:** SHARED\_SAVINGS

**TYPE:** NUM

**LENGTH:** 16

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** -

**COMMENT:** -

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## STLMNT\_ACO\_YEAR

**LABEL:** Year of Settlement ACO Source Record

**DESCRIPTION:** Year of Settlement ACO Source Record; calendar year of data file.

**SHORT NAME:** STLMNT\_ACO\_YEAR

**LONG NAME:** STLMNT\_ACO\_YEAR

**TYPE:** NUM

**LENGTH:** 4

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** 2012  
2013  
2014

**COMMENT:** -

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## STLMNT\_DT

**LABEL:** Settlement Date

**DESCRIPTION:** A reference date identifying the financial settlement.

**SHORT NAME:** STLMNT\_DT

**LONG NAME:** STLMNT\_DT

**TYPE:** DATE

**LENGTH:** 8

**SOURCE:** CMS Pioneer ACO Financial Performance RIF

**VALUES:** 2012 file = 12JUL2013  
2013 file = 03SEPT2014  
2014 file = 14JUL2015

**COMMENT:** Format is DDMMMCCYY

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## TIN

**LABEL:** Tax Identification Number of the Participating Provider

**DESCRIPTION:** The taxpayer identification number (TIN) of the participating provider, generally an employer identification number (EIN) but may be (in the case of professionals) a Social Security Number (SSN).

**SHORT NAME:** TIN

**LONG NAME:** TIN

**TYPE:** NUM

**LENGTH:** 9

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** -

**COMMENT:** The legal name associated with the TIN is in the field called TIN\_NAME.

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## TIN\_NAME

**LABEL:** Name of the TIN

**DESCRIPTION:** Legal name associated with the taxpayer identification number (TIN) for the participating provider.

**SHORT NAME:** TIN\_NAME

**LONG NAME:** TIN\_NAME

**TYPE:** CHAR

**LENGTH:** 70

**SOURCE:** CMS Participating Provider Pioneer ACO RIF

**VALUES:** -

**COMMENT:** 'NA' indicates that a TIN name was not submitted by the ACO. The TIN number is in the field called "TIN".

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